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To: Examiner Brett Nelson

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From: Paul S. Naik

Date:

January 3, 2001

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Comments:

REFAX PER YOUR REQUEST

Re: U.S. Serial No.: 09/202,035

Attorney Docket No.: 415852000100 Filing Date: December 17, 1998 Inventor: Jeffrey John GORMAN

Title: VIRAL PEPTIDES WITH STRUCTURAL HOMOLOGY TO PROTEIN G OF

RESPIRATORY SYNCYTIAL VIRUS

Papers enclosed herewith:

- 1. Transmittal Form- 1 page
- 2. Patent Application Fee Determination Record 1 page
- 3. Fee Transmittal For FY 2001, plus duplicate copy 2 pages
- 4. Preliminary Amendment including attachment listing claims presently under examination 12 pages
- 5. Certificate of Limited Recognition Under 37 C.F.R. §10.9(b) 1 page

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|--|--------------|---|---|
| | | Application Number | 09/202,035 |
| TRANSMITTAL | | Filing Date | December 17, 1998 |
| FORM | | First Named Inventor | Jeffrey John Gorman |
| | | Group Art Unit | 1646 |
| (to be used for all correspondence after initial | ei filing) | Examiner Name | B. Nelson |
| Total Number Of Pages In This Submission | 17 | Attorney Docket No. | 415852000100 |
| | ENCLOS | SURES (check all that | |
| Fee Transmittal Form, & duplicate oppy | | signment Papers r an Application) | After Allowance Communication to Group |
| Fee Attached | Dr. | awing(s) | Appeal Communication to Board of Appeals and Interferences |
| Preliminary Amendment | Lic | censing-related Papers | Appeal Communication to Group (Appeal Natice, Brief, Reply Brief) |
| After Final | Pe | etition | Proprietary Information |
| Affidavits/declarations | | etition to Convert to a ovisional Application | Status Letter |
| Extension of Time Request | ☐ Po | ower of Attorney, Revocation nange of Correspondence A | ddress 1. Patent Application Fee |
| E | Te | erminal Disclalmer | Determination Record 2. Certificate of Limited Recognition |
| Express Abandonment Request | R | equest for Refund | Under 37 C.F.R. §10.9b |
| Information Disclosure Statement | | D, Number of CD(s) | |
| Certified Copy of Priority Document(s) | Remarks | | |
| Response to Missing Parts/ | | | |
| Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 | | | • |
| | | | |
| | | APPLICANT, ATTOR | |
| [' "''' | r LLP 755 Pa | ge Mill Road, Palo Alto, Californi | a 94304 |
| or Individual Name Paul S. Naik (Limit | ed Recogniti | on Under 37 C.F.R. §10.9(b). ∞ | py of certificate attached) |
| Signature | | · | |
| Date January 2, 2001 | | | |

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being faesimile transmitted to the United States Patent and Trademark Office on January 2, 2001, to the attention of Examiner Brett Nelson via telefacsimile number 703-308-3219.

Patricla Ellison

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the Individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PTO/5B/06 (08/00)

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PATENT APPLICATION FEE DETERMINATION RECORD

Application or Docket Number

415852000100

OR

OR

OR

OR

OR

OR OR

OR

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OR OR OR

| CLAIMS AS AMENI | DED - I | n Response Filed | November 21, | 2000 |
|-----------------|---------|------------------|--------------|------|
| | | | (6.1 - 3) | |

Minus

Minus

(Column 1)

CLAIMS

AFTER

AMENDMENT

Total (37 CFR 1.16(c))

Independent (37 CFR 1.16(b)) REMAINING

AMENDMENT

50

2

FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM

(Column 2)

HIGHEST

NUMBER

PAID FOR

PREVIOUSLY

39

(Column 3)

(37 CFR

SMALL ENTITY

RATE

OR

ADDI-

TIONAL

OTHER THAN **SMALL ENTITY**

| PRESENT EXTRA | | |
|------------------|---|---|
| =11 | | ~ |
| =0 | | , |
| CFR 1.16(d)) | | • |
| | • | |

FEE x\$9.00 \$99.00 x540.00 \$0.00 +5135.00 \$0.00 TOTAL ADDIT. FEE \$99.00

| RATE | ADDI- TIONAL FEE |
|---------------------|------------------------|
| \$18.00 | \$* |
| \$80.00 | s• |
| +\$270.00 | s • |
| TOTAL ADDIT, FEE | 5* |

(Column 1)

(Column 2)

(Column 3)

| MENDMENT B | | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA | | |
|------------|---|----------------------------------|-------|---|------------------|--|--|
| | Total (37 CFR 1.16(c)) | 250 | Minus | 50 | =200 | | |
| | Independent (37 CFR 1.16(b)) | 2 | Minus | 3 | -0 | | |
| ∢ | FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) | | | | | | |

| RATÉ | ADDI- TIONAL FEE |
|---------------------|------------------------|
| x59.00 | \$1,800.00 |
| x\$40.00 | \$0.00 |
| +\$135.00 | \$0.00 |
| TOTAL ADDIT. FEE | \$1,600.00 |

| RATE | ADDI- TIONAL FEE |
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| \$18.00 | 5. |
| \$80.00 | 5 • |
| +\$270.00 | §* |
| TOTAL ADDIT, FEE | \$* |

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| AMENDMENT C | | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
|-------------|---------------------------------|---|------------|---|------------------|
| | Total (37 CFR 1.16(e)) | | Minus | | =* |
| | Independent (37 CFR 1.16(b)) | | Minus | | |
| _ | FIRST PRESENT | ATION OF MULTIP | LE DEPENDI | Ent Claim (3 | 7 CFR 1.16(d)) |

| RATE | ADDI- TIONAL FEE |
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| x\$9.00 | s- |
| x\$40.00 | 5. |
| +\$135.00 | 5 * |
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| \$80.00 | \$* |
| +\$270.00 | 5* |
| TOTAL ADDIT. FEE | \$- |

If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

"If the "Highest Number Previously Paid Por" IN THIS SPACE is less than 20, enter "20"

"If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "3"

The "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3"

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FEE TRANSMITTAL FOR FY 2001

Complete if Known Application Number 09/202,015 Filing Date Documber 17, 1898 First Named Inventor Jeffrey John GORMAN Examiner Name 8. Nelson

Patent fees are subject to annual revision.

(\$)1,800.00 TOTAL AMOUNT OF PAYMENT Allomey Dockel No. 415852000100

Group Art Unit

| METHOD OF PAYMENT | 1 | FEE CALCULATION (continued) | | | | | |
|--|--------------------|--|----------------------|--|----------------------|--|--|
| The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: | 3. A | DDITIONAL F | EES | | | | |
| Deposit Account Number | Larg Fee Cod | Fee | Small Fee Code | Entity Fco (\$) | Fee De | scription | Fee Paid |
| Account Morrison & Foerster LLP | | | | | _ | | · |
| Name: | 105 | 130 | 205 | 65 | | ge - late filing fee or cath | |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | 127 | 50 | 227 | 25 | | ge - late provisional filing over sheet | |
| Applicant daims small entity status. See 37 CFR 1.27 | 139 | 130 | 139 | 130 | Non-En | glish specification | |
| 2. Payment Enclosed: | 147 | 2.520 | 147 | 2,520 | For filing | g a request for ex parte nation | |
| ☐ Check ☐ Credit Card ☐ Money Order ☐ Other | 112 | 920* | 112 | 820* | | ling publication of SIR prior iner action | |
| FEE CALCULATION | 113 | 1,840* | 113 | 1,840* | Reques | sting publication of SIR after er action | |
| 1. BASIC FILING FEE | 115 | 110 | 215 | 55 | | on for reply within first month | |
| | 115 | 390 | 216 | 195 | | on for reply within second | |
| Large Entity Small Entity Fac Fee Fee Fee Description | 117 | 890 | 217 | 445 - | | on for reply within third | |
| Code (\$) Code (\$) Fod Paid | 119 | 1,390 | 218 | 695 | | on for reply within fourth | |
| 101 710 201 355 Utility filing fee | 128 | 1,890 | 228 | 945 | | on for reply within lifth month | |
| 106 320 206 160 Design filing Iee | 110 | 310 | 219 | 155 | | of Appeal | |
| 107 490 207 245 Plant filing fee | 120 | 310 | 220 | 155 | Filing a | brief in support of an appeal | |
| 108 710 208 355 Reissue filing fee | 121 | 270 | 221 | 135 | Reques | t for oral hearing | |
| 114 150 214 75 Provisional filling fee | 138 | 1,510 | 139 | 1,510 | Polition | to institute a public use | |
| | 140 | 110 | 240 | 55 | • | to revive - unavoldable | |
| SUBTOTAL (1) (\$)D | 141 | 1,240 | 241 | 620 | Petition | to revive - unintentional | |
| | ĺ | | | | | | |
| 2. EXTRA CLAIM FEES Extra Fee from Fee from | 142 | 1,240 | 242 | 620 | • | isue (ee (or reissue) | |
| Claims below Fee Paid | 143 | 440 | 243 | 220 | Design | issue fee | |
| Total Claims 250 -50 - 200 x 9 = 51,800 | 144 | 600 | 244 | . 300 | Plant is | sue fee | |
| independent 2 -3⇒ 0 x = 50 Ctalme | 122 | 130 | 122 | 130 | | s of the Commissioner | |
| Multiple Dependent x = \$0 | 123 | \$ 0 | 123 | 50 | Pellilan | is reisled to provisional Nons | |
| | 126 | 240 | 126 | 240 | | sion of Information ure Simi | |
| Large Emily Small Entity For Fee Fee Fee Description Code (S) Code (S) | 681 | 40 . | 561 | 40 | | ing each patent assignment perties (times number of les) | |
| 103 18 203 9 Claims in exces≤ of 20 | 146 | 710 | 246 | 355 | Filing a rejectio | submișsion after linai | |
| 10Z 60 202 40 Independent claims in excess of 3 | 149 | 710 | 249 | 355 | For eac | th additional invention to be ed (37 CFR § 1.129(b)) | |
| 164 270 204 135 Multiple dependent claims, il eot pald | 179 | 710 | 279 | 355 | | st for Continued Examination | |
| 100 BD 208 40 Reissue independent claims over | 169 | 900 | 169 | 900 | Reque | st for expedited examination | |
| onginal patem. | | | · - | - | oi a de | sign application | |
| 110 18 210 9 over original patent | OIL | er fee (specily) | | | | | |
| SUBTOTAL (2) (\$)1.800.00 | "" | e. ice (specify) | | | | | |
| or number previously paid, if greater; For reissues, see above. | *Re | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (5)0.00 | | | 00.00 | | |
| SUBMITTED BY | | | | | | Complete (if applicable) | |
| Name (Print/Type) Paul S. Nalk | | Registration N (Attorney/Ager | | Umited Reco Under 37 C.F. §10.8(b) (cop) | R | Talephone (650) 8 | 13-5704 |
| | | | | Certificate at | | | |

Date January 2, 2001 Signature